THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

- 1. A securities Transaction performance framework for developing an evaluation system wherein the framework comprises:
 - a compilation of Broker demographics;
 - a compilation of Broker performance data;
 - a compilation of Client Portfolio information;
 - a compilation of periodic Transaction data including volume of Transactions, commission charged, and associated Broker information; and

at least one interface to a Broker Master to correlate a Broker to statistical database as requested by the user; and

wherein the Broker Master correlates financial statistics from Transaction data to Broker demographics and performance data so as to provide an evaluation system.

- 2. The securities Transaction performance framework of claim 1, wherein a set of criteria assigned to Brokers correlates and ranks the number of Transactions/period and experience each resultant ranking is allocated by a peer group.
- 3. A Securities Transaction performance framework according to claim 2 wherein said Brokers are allocated a peer group based upon experience.
- 4. The securities Transaction performance of claim 2, wherein each of said peer groups experience based and correlates financial statistics from Transaction data to a peer group so as to rank Broker performance within said peer group.
- 5. The securities Transaction performance of claim 2, wherein a Client attractiveness value (CAV) is assigned to each Client on the basis of total assets invested in all accounts.

- The securities Transaction performance of claim 2, wherein a peer group price file correlates elements of Transaction data wherein each peer group generating a series of Transaction based financial averages or results associated with each peer group.
- 7. The securities Transaction performance of claim 3, wherein a CAV price file correlates, for each Broker, the average of Transactions and commissions charged.
- 8. The securities Transaction performance of claim 3, wherein a peer group CAV price file is generated and correlates, for each peer group, the average number of Transactions and commissions charged; and wherein correlation of said CAV profile file of claim 5 and said peer group CAV price file generate a means to compare individual Brokers to peer groups.
- 9. The securities Transaction performance framework of claim 6, wherein correlation of said CAV and said peer group CAV price file generate a means to compare individual brokers to peer groups.
- 10. A method of providing an evaluation of securities Transaction performance of a plurality of Brokers comprising the steps of:
 - (a) compiling data regarding the identity and experience of each of said Brokers.
 - (b) Compiling data on target performance and actual performance of each Broker,
 - (c) Compiling information on Clients served by ones respective said Brokers,
 - (d) Associating said Clients and said Brokers,
 - (e) Collecting periodic Transaction data including the volume of Transactions, commission charged and Broker responsible,
 - (f) From said Transaction data computing statistical data on the performance of each Broker based on the volume of Transactions and commissions charged,
 - (g) Utilizing said Client information to a ranking of each Client to Portfolio within a set of designations,
 - (h) Utilizing said Broker information to rank said Brokers by experience and allocate to each a peer group,





- (i) Correlating financial statistics from said Transaction data to a peer group to rank a

 Broker within a peer group and
- 11. As mentioned according to claim 9 wherein said peer groups are allocated by experience and frequency of trading activities of individual Clients.